

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/634,933
	Filing Date	August 5, 2003
	First Named Inventor	Zolnier, S. Scott
	Art Unit	3679
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	85937.000006

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO/SB/08a, Form PTO/SB/08b, Copy of JP4-358055 with English Abstract, Copy of JP5- 33113 with English Abstract, and Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Brian B. Shaw, HARTER, SECREST & EMERY LLP
Signature	
Date	January 12, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Type or printed name	Carol Austin		
Signature		Date	January 12, 2004

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zolnier, S. Scott Atty. Docket: 85937.000006
Serial No.: 10/634,933 Examiner: Unknown
Filed: August 5, 2003 Art Unit: 3679
Title: SURFACE COATED SPHERICAL SLIP JOINT FOR FORMING A SEALED
INTERFACE AND METHOD OF FABRICATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)


Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby submits an Information Disclosure Statement as set forth on the enclosed form PTO/SB/08a.

Applicant believes there are no fees due at this time as this submission is being filed before the mailing of the first Office Action.

Respectfully submitted,



Brian B. Shaw, Registration No. 33,782
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Fax: 585-232-2152

Dated: 12 January 2004

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<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid control number.</p> <p>Substitute for form 1449/110</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				Complete if Known	
				Application Number	10/634,933
				Filing Date	August 5, 2003
				First Named Inventor	Zolnier, S. Scott
				Art Unit	3679
				Examiner Name	Unknown
				Attorney Docket Number	85937.000006
Sheet	1	of	2		

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)				
		US-2,775,531		12-25-1956	Montgomery et al.	
		US-3,254,970		06-07-1966	Dittrich et al.	
		US-3,279,939		10-18-1966	Yenni	
		US-3,607,343		09-21-1971	Longo et al.	
		US-3,844,729		10-29-1974	Sedlatschek et al.	
		US-5,338,577		08-16-1994	Burdette, II	
		US-5,683,119		11-4-1997	Emmons et al.	
		US-6,017,591		01-25-2000	Popoola et al.	
		US-6,129,994		10-10-2000	Harada et al.	
		US-6,245,390		06-12-2001	Baranovski et al.	
		US-6,376,091		04-23-2002	Croopnick	
		US-6,416,877		07-09-2002	Perrin et al.	
		US-6,475,647		11-5-2002	Mendez Acevedo et al.	
		US-6,482,476		11-19-2002	Liu	
		US-6,497,758		12-24-2002	Hasz et al.	
		US-				
		US-				
		US-				
		US-				

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08b (05-03)

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/634,933
				Filing Date	August 5, 2003
				First Named Inventor	Zolnier, S. Scott
				Art Unit	3679
				Examiner Name	Unknown
				Attorney Docket Number	85937.000006
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		English Abstract of Japanese Patent 4358055	
		English Abstract of Japanese Patent 5033113	

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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